



#3

Please type a plus sign (+) in this box → ☒Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|-----------------------------|-------------------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/930,364 |
| | Filing Date | August 15, 2001 |
| | First Named Inventor | Michael L. Weiner |
| | Group Art Unit | |
| | Examiner Name | |
| Total Number of Pages in This Submission | 7 | Attorney Docket Number MLW-3 |

| ENCLOSURES (check all that apply) | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Certificate of Mailing |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | Return Postcard |
| <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | Newly executed Declaration |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks This application was originally filed without the signature of the inventors. Enclosed is a newly signed and dated Declaration as well as a check for \$65.00 for the surcharge for late filing of the Declaration, both submitted within sixty (60) days of the original filing date of 8/15/2001, thus establishing small entity status. If additional fees are required, please deduct from Deposit Account 50-1706. | Transmittal Form |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Check |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Howard J. Greenwald; Greenwald & Basch LLP |
| Signature | |
| Date | 9/18/01 |

| CERTIFICATE OF MAILING | |
|---|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 09/18/2001 | |
| Typed or printed name | Howard J. Greenwald |
| Signature | |
| Date | 9/18/01 |

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#3

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**65.00**

Complete if Known

Application Number **09/930,364**
Filing Date **August 15, 2001**
First Named Inventor **Michael L. Weiner**
Examiner Name
Group / Art Unit
Attorney Docket No. **MLW-3**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1706**

Deposit Account Name **Greenwald & Basch LLP**

☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101 | 690 | 201 | 345 | Utility filing fee | |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)**0.00**

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---|--------------------------------|----------------------------|--------------------------|
| <input type="checkbox"/> -20** | <input type="checkbox"/> X | <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> Independent Claims | <input type="checkbox"/> - 3** | <input type="checkbox"/> X | <input type="checkbox"/> |
| <input type="checkbox"/> Multiple Dependent | | <input type="checkbox"/> | <input type="checkbox"/> |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description |
|-------------------|-----------------------|-------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim, if not paid |
| 109 | 78 | 209 | 39 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | 0.00 |
| 139 | 130 | 139 | 130 | Non-English specification | 0.00 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | 0.00 |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | 0.00 |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | 0.00 |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 0.00 |
| 116 | 380 | 216 | 190 | Extension for reply within second month | 0.00 |
| 117 | 870 | 217 | 435 | Extension for reply within third month | 0.00 |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | 0.00 |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | 0.00 |
| 119 | 300 | 219 | 150 | Notice of Appeal | 0.00 |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | 0.00 |
| 121 | 260 | 221 | 130 | Request for oral hearing | 0.00 |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | 0.00 |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | 0.00 |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | 0.00 |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | 0.00 |
| 143 | 430 | 243 | 215 | Design issue fee | 0.00 |
| 144 | 580 | 244 | 290 | Plant issue fee | 0.00 |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | 0.00 |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | 0.00 |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | 0.00 |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 0.00 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | 0.00 |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | 0.00 |
| Other fee (specify) | | | | | 0.00 |
| Other fee (specify) | | | | | 0.00 |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**65.00**

SUBMITTED BY

| Name (Print/Type) | Registration No. (Attorney/Agent) | Complete (if applicable) | Telephone |
|----------------------------|-----------------------------------|--------------------------|---------------------|
| Howard J. Greenwald | 24,247 | | 716/387-0280 |
| Signature | | Date | 9/18/01 |

WARNING:

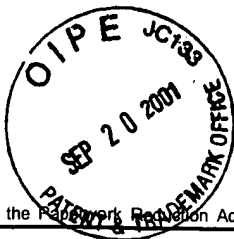
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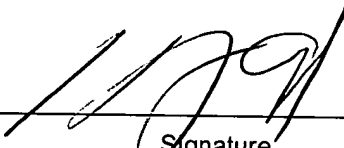
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Washington, D.C. 20231**

on September 18, 2001
Date



Signature

Howard J. Greenwald
Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

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